Risk Council Agenda

November 10, 2014 - 1:00-3:00 - Poplars 017 and Video Bridge 227451

Attendees in person: Chris Viers, John Whelan, Todd Herring, Eric Cosens, Tom Davis, Chris Swafford, Jim Kennedy

Attendees Via phone: Phil Cochran, Jeff Lambright, Leslie Pfeffer, Kim Milford

Absent: Joan Hagen, Eric Swank, Joe Scodro, Stew Cobine, Doug Wasitis

Call to Order and Approval of Minutes (5 minutes)

- Welcomed John Whelan as new member of the Risk Council.
- Introduced Todd Herring, UITS Manager of IT Community Partnerships, as guest speaker

IT-28 Policy Overview and Progress Update - Todd Herring, UITS (30 minutes)

- Todd reviewed history of IT-28. 1/13 draft, 2/13 posted for review, approved 5/13. It was prompted by repeated, related audit findings, and addresses mitigation of cyber-risk by requiring use of core services to the greatest extent practicable to reduce threat surface.
- Briefly reviewed IT-28 requirements and process, status of reviews, results, etc.
- A copy of Todd’s presentation will be shared with Council Members in Oncourse.

Old Business and New Business (15 minutes)

CDS update (Kim Milford or Eric Cosens)

After taking a 4-month hiatus from meetings, the CDS met in October. Here’s a brief update on their recent initiatives:

- Laura Kress replaced Dan Rives as the Data Steward for HR data upon Dan’s retirement.
- The CDS has a policy working group that is currently updating DM-01 (general data management policy and standards) and DM-02 (sharing and disclosing institutional information to third parties). DM-02 is listed as an “interim” policy on the policies website, policies.iu.edu. Both policies should be updated by the end of the calendar year.
- Continuing work on revising the Data Management web site.
- The Data Steward for Student data is working closely with the Business Intelligence team to align system development with policy and standards. The CDS decided:
  1. only BI changes that impact changes to security groups should be approved by Data Managers; and
2. that a third option for the type of data, “Combine” would be added to “Enterprise” and “Departmental” for publishers to choose to ensure they have training for institutional (Enterprise) data.

- The CDS approved Vintage Tech (approved IU recycler) for storing/sharing data classified as Restricted, with other contractual controls in place.

The CDS meets again on 11/20. The agenda will include:

- Review of the use of Box for Restricted data
- Review of Internal Audit’s Consultation Project on data classification

**Third Party Security Reviews for non-Critical Data - Jim Kennedy (30 minutes):**

- A business process review (BPR) meeting overview was held last week (11/5). Jim is still reviewing the results and looking for opportunities to improve the process. Also discussed some challenges that have been encountered working through some reviews, and some ideas that came out of the BPR.
- Leslie just came from a meeting related to cyber security insurance and she’s started to see some Business Associate Agreements (BAAs) that are asking IU to have cybersecurity insurance. Tom mentioned a group has been reviewing cybersecurity insurance options and will provide an update at the next meeting.

**Wrap-Up and Next Steps (5 minutes)**

**Reference**

- Next meeting: March 10, 2015